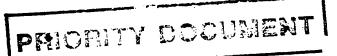
99-117,218



PCT/GB 97 / 0 n 2 3 2

The Patent Office Cardiff Road Newport Gwent NP9 1RH

1 8 FEB 1997 REC'D WIPO PCT



I, the undersigned, being an officer duly authorised in accordance with Section 74(1) and (4) of the Deregulation and Contracting Out Act 1994, to sign and issue certificates on behalf of the Comptroller-General, hereby certify that annexed hereto is a true copy of the documents as originally filed in connection with the patent application identified therein.

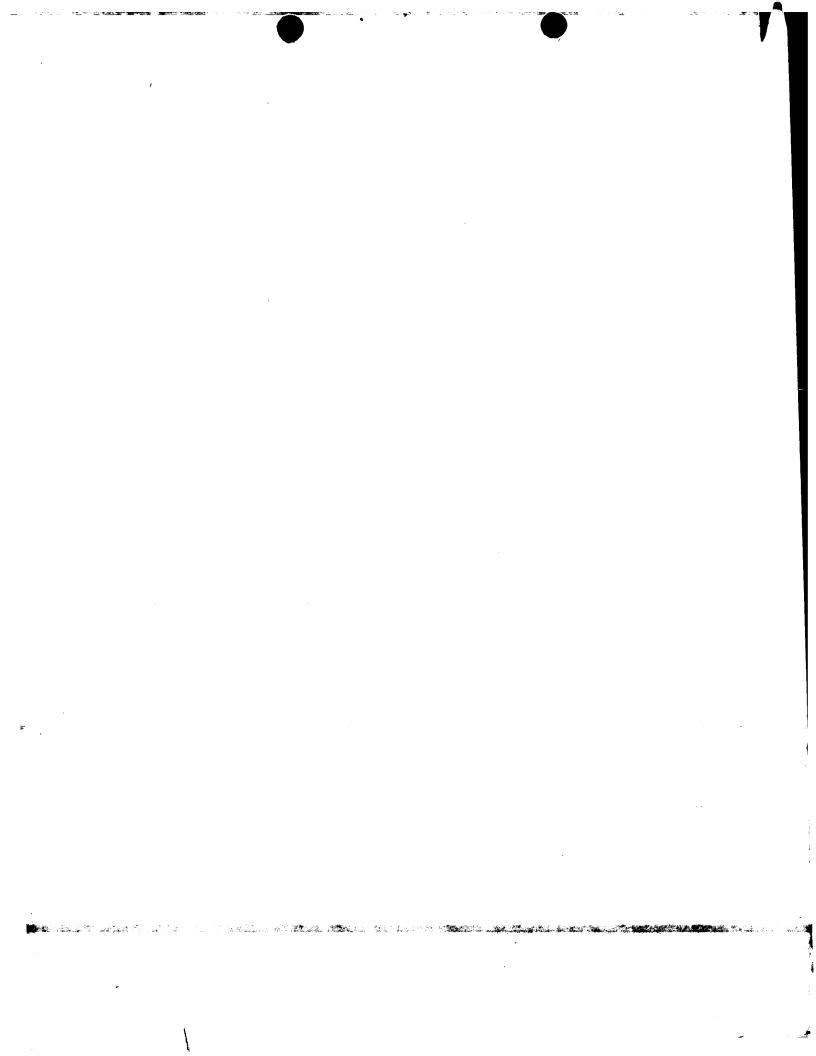
In accordance with the Patents (Companies Re-registration) Rules 1982, if a company named in this certificate and any accompanying documents has re-registered under the Companies Act 1980 with the same name as that with which it was registered immediately before reregistration save for the substitution as, or inclusion as, the last part of the name of the words "public limited company" or their equivalents in Welsh, references to the name of the company in this certificate and any accompanying documents shall be treated as references to the name with which it is so re-registered.

In accordance with the rules, the words "public limited company" may be replaced by p.l.c., plc, P.L.C. or PLC.

Re-registration under the Companies Act does not constitute a new legal entity but merely subjects the company to certain additional company law rules.

Signed

February 1997 Dated II



#### Patents Form 1/77

i Act 1977 (huc 16)



### Request for grant of a patent

(See the notes on the back of this form. You can also get an explanatory leaflet from the Patent Office to belp you fill in this form) The Patent Offic

Cardiff Road Newport Gwent NP9 1RH

1. Your reference

MGH/MG/P06998GB

2. Patent application number (The Patent Office will fill in this part)

9601507.8

25 JAN 1996

3. Full name, address and postcode of the or of each applicant (underline all surnames)

MEDICAL RESEARCH COUNCIL 20 PARK CRESCENT LONDON W1N 4AL

Patents ADP number (if you know it)

If the applicant is a corporate body, give the country/state of its incorporation

226007001 2

United Kingdom

(see Continuation Shee

4. Title of the invention

TREATMENT OF NON-NEURONAL CANCER USING HSV MUTANT

5. Name of your agent (if you nave one)

"Address for service" in the United Kingdom to which all correspondence should be sent (including the postcode)

-CRUIKSHANK & FAIRWEATHER -19 Royal Exchange Square -CLASCOW G1 3AE

> J A Kemp & Co 14 South Square Gray's Inn LONDON WC1R 5LX

Patents ADP number (if you know it)

547002

Fm 51/77 - Filed 21.01.97

6. If you are declaring priority from one or more earlier patent applications, give the country and the date of filing of the or of each of these earlier applications and (if you know it) the or each application number Country

(if you know it)

(day / month / year)

If this application is divided or otherwise derived from an earlier UK application, give the number and the filing date of the earlier application

Number of earlier application

Date of filing
(day / month / year)

8. Is a statement of inventorship and of right to grant of a patent required in support of this request? (Answer Yes' if:

- a) any applicant named in part 3 is not an inventor, or
- b) there is an inventor who is not named as an applicant, or
- c) any named applicant is a corporate body.

See note (d))

### Patents Form 1/77

9. Enter the number of sheets for any of the following items you are filing with this form. Do not count copies of the same document

> 1 Continuation sheets of this form 27 Description Claim(s) 1 Abstract 4 Drawing(s)

10. If you are also filing any of the following, state how many against each item.

Priority documents

Translations of priority documents

Statement of inventorship and right to grant of a patent (Patents Form 7/77)

Request for preliminary examination and search (Patents Form 9/77)

Request for substantive examination (Patents Form 10/77)

> Any other documents (please specify)

I/We requ

Signature

CRUIKSHANK

12. Name and daytime telephone number of person to contact in the United Kingdom Dr. M.G. F

#### Warning

11.

After an application for a patent has been filed, the Comptroller or communication of the invention should be probibited or restr will be informed if it is necessary to probibit or restrict your inve United Kingdom. Section 23 of the Patents Act 19 -- stops you fre written permission from the Patent Office unless an application United Kingdom for a patent for the same invention and either. communication has been given, or any such direction has been.

#### Notes

- a) If you need help to fill in this form or you have any question
- b) Write your answers in capital letters using black ink or you.
- c) If there is not enough space for all the relevant details on an sheet of paper and write "see continuation speet in the relet attached to this form.
- d) If you have answered 'Yes' Patents Form 7/-- will need to be
- e) Once you have filled in the form you must remember to sign
- For details of the fee and ways to pay please contact the Pate

#### CONTINUATION SHEET

#### BOX 3 FURTHER APPLICANTS

THE WISTAR INSTITUTE 3601 SPRUCE STREET PHILADELPHIA PA 19104-4268 U.S.A.

PUTABETOO!

THE UNIVERSITY COURT OF THE UNIVERSITY OF GLASGOW NO. 2 THE SQUARE UNIVERSITY AVENUE GLASGOW G12 8QQ UNITED KINGDOM.

## TREATMENT OF NON-NEURONAL CANCER USING HSV MUTANT FIELD OF INVENTION

The present invention relates to the use of a herpes simplex virus (HSV) mutant for the treatment of non-neuronal cancer tumors, particularly mesotheliomas, ovarian carcinoma, or bladder cancer whether the tumors are metastatic tumors or primary tumors.

#### BACKGROUND

The DNA sequence of herpes simplex type 1 (HSV-1) is known and is linear with a length of about 152k residues. It consists of two covalently linked segments, designated long (L) and short (S). Each segment contains a unique sequence flanked by a pair of inverted terminal repeat sequences. The long repeat ( $R_L$ ) and short repeat ( $R_S$ ) are distinct. The unique long ( $U_L$ ) region includes genes UL1 to UL56, and the  $U_S$  region includes genes US1 to US12.

The  $U_L$  region is flanked by a terminal repeat region (TRL) and an internal repeat region (IRL) which lies adjacent the IRS of the U, region. Two genes RL1 and RL2 lie within each of the repeat regions TRL and IRL. The RL1 gene codes for the protein ICP 34.5, and this gene is referred to herein as  $\gamma 34.5$ .

A number of naturally occurring and artificially-engineered HSV-1 mutants have recently been identified MGH/MG 8th January, 1996.

that appear to replicate preferentially in transformed cells (references 11 and 12). Because of the natural tropism of wild type herpes virus for neuronal tissue, the published uses of modified, replicating HSV to treat cancer have centered around tumors of CNS (central nervous system) origin. Initial experiments utilising HSV-1 mutants with deletions thymidine kinase gene (HSV-TK) showed dose dependent improvement in the survival of nude mice bearing human gliomas, medulloblastoma, malignant or atypical meningioma and neurofibrosarcoma both in vitro and in tumor bearing nude mice (references 13 and 14). More recently, additional "replication restricted" nonneurovirulent mutants of HSV that contain the HSV-TK gene (a potential safety factor that would allow elimination of virus by treatment with the drug ganciclovir), but lack other HSV genes have been developed and have shown even more promise in CNS tumors.

A mutant HSV-1 called R3616, containing a 1000 base pair (bp) deletion in  $\gamma 34.5$ , with LD<sub>50</sub> (minimum dose of virus that kills 50% of infected animals) that is at least  $3 \times 10^3$  fold greater than wild type F strain virus from which it was derived, has been shown to improve the outcome of nude mice bearing intracranial human gliomas (reference 15).

8th January, 1996.

MGH/MG

In the work presented here, we have utilised an

HSV-1 strain 17 mutant virus called 1716, that has a 759 bp deletion in each copy of  $\gamma 34.5$  of the long repeat region ( $R_L$ ). The construction of mutant virus 1716 is described in published patent application W092/13943 (PCT/GB92/00179) the contents of which are incorporated herein by reference. However, this patent publication is solely concerned with the use of mutant 1716 as a vaccine, either in itself or as a vector vaccine which includes a heterologous gene coding for an antigen.

Patent application PCT/GB95/01791 includes data showing the efficacy of HSV 1716 against brain tumors.

#### STATEMENT OF INVENTION

The present invention is based on the surprising discovery that HSV which is  $\gamma 34.5$  null is effective against non-neuronal cancers. Since HSV is known to selectively inhabit the neuronal system (including the peripheral and central nervous system) and where it may remain in a latent state, it was unexpected that an HSV mutant could be effective against cancers of non-neuronal origin. Moreover, replication of the HSV mutant in vivo is restricted to the tumor cells.

The present invention in one aspect provides the use as an anticancer agent against non-neuronal cancer of a mutant herpes simplex virus which has been modified in the  $\gamma 34.5$  gene of the long repeat region

(R,) such that the gene is non-functional.

The invention also relates to a method of treatment of non-neuronal cancer in a mammal (human or animal) by the administration to the mammal of an anti-cancer effective dose of the mutant herpes simplex virus.

#### DETAILED DESCRIPTION

For the purposes of the present invention "non-functional" means that the gene has been modified by deletion, insertion or substitution (or other change in the DNA sequence such as by rearrangement) such that it does not express the normal product or a functionally equivalent product. The effect of the non-functionality of the gene is that the neurovirulence of the virus to the patient is substantially removed.

Thus the invention relies on the finding that rendering the  $\gamma 34.5$  gene non-functional provides an HSV mutant which is particularly effective in destroying dividing non-neuronal tumor cells, whilst at the same time the HSV mutant does not replicate within normal non-cancerous cells. It therefore has the potential to provide a safe anti-cancer treatment.

Two types of herpes simplex virus are known HSV-1 and HSV-2 and either may be employed in the present invention to provide the HSV mutant. Inter-type

recombinants containing DNA from both types could also be used. HSV1 and HSV2 mutants 1716, 1771, 2604, 2616 and 2621 are described herein.

The modification may be effected at convenient point within the  $\gamma$ 34.5 gene, and such point generally corresponds to a restriction enzyme site or The modification may be within the BamHI sites. restriction fragment of the R, terminal (corresponding to 0-0.02 and 0.81 - 0.83 mu). modification is typically a deletion of 0.1 to 3kb, particularly 0.5 to 2.5kb, and especially 0.7 to 0.8 The simple insertion of a stop codon is also effective in preventing production of the ICP 34.5 protein.

The HSV genome also includes a number of other genes which are non-essential to the successful culturing of the virus. Their removal may further contribute to the safety of the HSV mutant by further reducing neurovirulence and reducing the likelihood of recombination to the wild type. It is, of course, necessary to retain within the HSV mutant the ability to culture the mutant so that the mutant is self-replicating and stocks of the mutant can be grown in tissue culture. Lethal modifications of the genome which remove the ability to culture the HSV mutant are not acceptable, unless the missing gene products can be provided to the culture system in an alternative

way e.g. by the use of a complementing cell line containing a plasmid which expresses the missing gene product.

Thus, in addition to the primary modification to the  $\gamma 34.5$  gene of the  $R_L$  region, it may be advantageous to also include in the HSV mutant one or more secondary modifications which are generally within non-essential genes unless the missing gene product can be provided in an alternative way.

The present invention also encompasses as a new product an HSV mutant which includes in addition to the primary modification, a secondary modification (for example within Vmw65). The mutant may be derived from HSV-1 or HSV-2.

In a similar way, other secondary modifications may involve modification of the latency associated transcript (LAT) promoter so as to render the promoter non-functional and prevent transcription thereof.

Herpes simplex virus naturally infects the brain and nervous system. It is therefore surprising that the HSV mutant is effective against tumors outside the brain and nervous system. Such tumors may be metastatic tumors where the cancer cells originate elsewhere or may be primary tumors. On the basis of the results presented herein, which surprisingly show the ability of the HSV mutant to combat non-neuronal tumors, it is believed that the anti-tumor

effectiveness of the HSV mutant extends to the treatment of non-neuronal cancers in general, including the treatment of mesotheliomas, ovarian carcinoma, and bladder cancer. The treatment is particularly applicable to primary tumors which are localised, rather than metastatic tumors. efficacy of treatment according to the invention employing the HSV mutant will depend on the time after origination of the tumor at which the treatment is initiated, but efficacy is improved by early treatment for example in 1 to 30 days.

The  $LD_{50}$  (minimum dose of virus that kills 50% of infected animals) of the 1716 mutant in respect of mice is  $10^6$  fold greater than that of the wild type 17+ virus from which it is derived (for cerebral tumors). Thus the neurovirulence of 1716 is essentially removed, relative to the wild type virus.

. ; ;

The effective non-toxic dose of HSV mutant can be determined by routine investigation by the skilled addressee, and will depend on a number of factors including the particular species of mammal and the extent of development of the tumor. A guide can be obtained from the Examples herein, which show that in the mouse relatively high doses (4x10° pfu) can significantly improve survival. Preferred doses for mice are in the range 1x10⁴ to 1x10³ particularly 1x10° to 1x10⁻ pfu. The doses for other mammals can be

estimated accordingly by the skilled man. For humans doses will generally be in the range  $1 \times 10^6$  to  $1 \times 10^8$  pfu.

In a further aspect of the invention there is provided a method of treating non-neuronal cancer in mammals, in particular in humans by administering a pharmaceutical formulation comprising the HSV mutant to a mammal, in particular to humans. Thus, the method of treatment can comprise the administration of a pharmaceutical formulation comprising the HSV mutant by injection directly into the tumor, parenterally into the blood stream feeding the tumor or intraperitoneally. The tumor may be surgically removed or debulked prior to treatment with the HSV mutant.

It will usually be presented as a pharmaceutical formulation including a carrier or excipient, for example an injectable carrier such as saline or apyrogenic water. The formulation may be prepared by conventional means.

Embodiments of the invention will now be described by way of example only.

#### **EXAMPLES**

#### Mutants in the HSV RL1 gene

Our laboratory has isolated a number of deletion mutants and point mutants in the RL1 gene of both HSV-

MGH/MG 8th January, 1996.

1 strain 17 and HSV-2 strain HG52.

#### HSV-1 strain 17

#### 1716

The genome of this virus has a 759 bp deletion located within each copy of the BamHI fragment (0-0.02 and 0.81-0.83 map units) of the long repeat region of the genome. The deletion removes one complete copy of the 18 bp DR1 element of the 'a' sequence and terminates 1105 bp upstream of the 5' end of IE gene 1. Most of RL1 including the initiating methionine is removed and the mutant fails to make ICP34.5. Following intracerebral inoculation of mice, the LD<sub>50</sub> value of 1716 is  $7 \times 10^6$ /mouse compared to <10 for the parental strain 17+.

Its production is described in published patent ... application W092/13943 (PCT/GB92/00179). The mutant virus was passaged for use in this study by Dr. Nigel N. Fraser (Philadelphia, PA).

#### 1771

The genome of this virus has a stop codon functional only in the assigned RL1 reading frame 9 bp downstream from the initiating ATG. The  $LD_{50}$  value of 1771 is >10<sup>6</sup> PFU/mouse following intracerebral inoculation and its latency phenotype is indistinguishable from 1716. 1771 fails to synthesize ICP34.5.

MGH/MG 8th January, 1996.

#### HSV-2 strain HG52 mutants.

#### 2604

This virus has a deletion of 1488 bp in both long repeats of the genome which extends from the DR1/Ub boundary of the 'a' sequence to 511 bp upstream of the 5' end of IE1. The deletion removes the whole of RL1. 2604 has a LD<sub>50</sub> value of >10<sup>7</sup> PFU/mouse compared to <10<sup>2</sup> for wild type strain HG52. Although formal proof of lack of synthesis of ICP34.5 has not been obtained due to the unavailability of an antiserum which detects the type 2 protein, the phenotype of the virus in vivo has been shown to be unambiguously due to the RL1 deletion.

#### 2616

This virus has 786 bp of both copies of RL1 deleted but retains 782 bp upstream of the 5' end of IE1 and 463 bp downstream of the "a" sequence. The  $\rm LD_{50}$  value of 2616 on intracerebral inoculation is  $\rm >10^6$  PFU/mouse.

#### 2621

This virus has a stop codon inserted only in the RL1 open reading frame 9 bp downstream of the initiating methionine within exon 1. The virus has a  $LD_{50}$  of >10<sup>7</sup> PFU/mouse following intracerebral inoculation.

In vitro studies of HSV-1716 on a Human Malignant Mesothelioma Cell Line.

A human malignant mesothelioma cell line called REN was isolated, characterised, and passaged as previously described by our laboratory. To construct a single step viral growth curve, REN cells were plated on six-well plates at a density of 500,000 cells/well and infected 24 hours later with HSV-1716 at a multiplicity of infection (MOI) of 0.01 (5000 pfu/well). One well was harvested at 0, 6, 12 and 24 hours by cell scraping and collection of the media. The samples were freeze/thawed and titered on Baby Hamster Kidney cell monolayers. A cell viability assay was performed by plating REN cells in 96 well plates at a density 20,000 cells per well. Twenty four hours later the cells were infected with HSV-1716 at MOI's of 0,0.001, 0.01, 0.1. Six wells were infected at each MOI. Three complete 96 well plates were constructed to allow for viability assay at 24, 48 and 72 hours. Viable cell number was assessed by a colorimetric assay (Cell Titer 96% Aqueous Nonradioactive MTT Cell Proliferation Assay; Corporation, Madison WI) that measures viable cell dehydrogenase activity by absorbance. The present control growth is defined as the ratio of the mean absorbance of six treatment wells at 490nm to the mean absorbance of six untreated matched controls.

#### In vivo Studies

A previously described model of human malignant mesothelioma growing in the peritoneal cavity of SCID mice was utilised for all in vivo experimentation (Smythe et al, 1994).

Briefly, SCID mice were obtained and housed at animal facilities of the Wistar Institute the (Philadelphia, PA). On day 0, animals were injected intraperitoneally with 30 x 106 REN cells in 1 cc of For the tumor burden study cell culture media. treatment animals were given 4x106pfu of HSV-1716 in culture media by intraperitoneal injection on day 14. Control animals received an equivalent volume of culture media. The animals were examined daily and sacrificed by cervival dislocation on day 28. amount of tumor burden was assessed using a four-point semiquantative scale which accounts for both gross and microscopic disease. Briefly, animals were assessed areas: tumor in the following four for region, portal stomach/pancreas, retroperitoneum/diaphram, and small bowel mesentary. On gross examination animals received either a score of 0 if no tumor was present or a score of 1 in each of the four designated areas where gross tumor was If no gross tumor was visible, H & E stained paraffin embedded sections of each organ from the designated area were examined in a blinded fashion by a clinical pathologist. The sections were scored as either 0 for no microscopic tumor or 0.5 if microscopic tumor was present. Thus, the tumor scores ranged for 0 to 4.0. Organs including: brain, heart, lungs, liver, stomach, pancreas, kidney, adrenals, spleen, gonads, small bowell, and diaphram were obtained from each animal. Each organ was divided by thirds with equal samples designated for frozen section, formalin fixation and DNA extraction.

For the initial survival study, 18 animals were injected intraperitoneally with 30 x 106 REN cells in 1 cc of cell culture media (day 0). On day 7, one animal was sacrificed for gross tumor confirmation and the remaining animals were randomized to the treatment group (n=8) and the control group (n=9). Treatment animals received 4  $\times$  10<sup>6</sup> pfu of HSV-1716 intraperitoneal injection; control animals received an equal volume of culture media. The animals were checked daily and followed for survival. An identical protocol was followed for the dose response study except the animals were randomized into the control group (n=10), the high dose group (n=10, 4 x 106 pfu HSV-1716), the middle dose group (n=10, 4 x  $10^5$  pfu HSV-1716) and the low dose group (n=10, 4  $\times$  10<sup>4</sup> pfu HSV-1716).

#### Histology and Immunohistochemistry

Tissue samples were obtained at necropsy. A MGH/MG 8th January, 1996.

portion of each specimen was fixed in 10% neutral buffered formalin overnight, parraffin embedded, sectioned and stained with hematoxylin and eosin for microscopic examination. Immunohistochemical staining for HSV infection was performed on frozen tissue sections with a commercially available polyclonal antibody for cell surface HSV antigens (DAKO, Carpinteria, CA).

## In vivo Dissemination and Restriction Studies

In order to look for dissemination of HSV-1716, we performed PCR looking for the herpes virus thymidine kinase gene (tk) on the collected tissues from two animals in the tumor burden study. was obtained by standard phenol/chloroform extraction and amplified by PCR. The PCR primers (5' ATGG CTTT TCGT ACCC CTGC CAT AND 3' GGTA TCGC GCGG GGGG GTA) were designed to span a region of the HSVtk gene generating a 536 bp fragment. Ten microliters of DNA extract from each tissue sample was subjected to 35 cycles of PCR using the tk primers. The tk plasmid as well as DNA brain tissues from an animal infected with wild type HSV-17+ were used as positive controls. PCR products were run on ethidium bromide 1.5% agarose gels and then blotted overnight onto Zeta-Probe GT Blotting Membranes (Bio-Rad Laboratories, Hercules, The membrane was probed using a 32P-labeled CA). portion of the HSVtk plasmid corresponding to the 536 bp PCR generated tk fragment.

#### **FIGURES**

Figure 1 shows an HSV-1716 single step viral growth curve on human malignant mesothelioma cells. Innoculum at time 0 was 5,000 PFU of virus (MOI=0.1). At twenty-four hours the amount of virus present had increased by four logs over the initial input innoculum.

Figure 2 shows an MTT assay for human malignant mesothelioma cell viability as a function of time and varying MOI. The % of control growth is the ratio of mean MTT activity in infected cells (n=6 wells at each time point) to the activity in a matched uninfected cells (n=6 wells at each time point).

Figure 3 shows the mean tumor score in animals day 28 animals (tumor/HSV animals received 5 x  $10^6$  pfu HSV-1716 on day 14). The mean tumor score in the control group was  $3.9 \pm 0.1$  versus a mean tumor score in the treatment group of  $1.4 \pm 0.2$  (p<0.001); and

Figure 4 shows an HSV-1716 viral dose response survival study. SCID mice received 30 x  $10^6$  human malignant mesothelioma cells on day 0. Seven days later one animal was sacrificed to confirm tumor. The remaining animals were randomized into four groups: control (n=11, culture media), low dose (n=10, 5 x  $10^4$  pfu HSV-1716), middle dose (n=10, 5 x  $10^5$  pfu HSV-1716),

MGH/MG 8th January, 1996.

and high dose (n=10, 5 x  $10^6$  pfu-1716).

#### EXAMPLE 1

# HSV-1716 Efficiently Replicates in a Human Malignant Mesothelioma Cell Line and Lyses the Cells In Vitro.

To determine the ability of HSV-1716 to replicate within a non-CNS human tumor cell line in vitro, we performed a single step viral growth curve in REN cells (a human malignant mesothelioma cell line isolated and characterised from a clinical specimen in our laboratory). As shown in Figure 1, REN cells supported rapid growth of the virus. At time 0, 70% of the input viral innoculum was recovered. hours, the number of recovered active viral particles fell by a factor of 200 as expected due to viral uptake and disassembly in preparation for viral Twelve hours later, viral recovery replication. increased to a level near the input innoculum. By 24 hours, a 4-log increase over the initial innoculum was obtained demonstrating efficient replication of HSV-1716 on REN cells.

To demonstrate the ability of HSV-1716 to lyse REN cells, we next performed an in vitro target cell viability assay. As shown in Figure 2, HSV-1716 efficiently lysed target cells in a time and dose-responsive fashion. By 72 hours, at an MOI=1.0, less than 20% of the cells remained viable when compared to

matched uninfected control cells. Similar results have been obtained with a second human mesothelioma line, I-45 (data not shown).

#### EXAMPLE 2

Unlike Wild-type HSV-1, HSV-1716 Infection and Replication is Restricted to Tumor Cells in an In Vivo SCID Mouse Model of Human Mesothelioma.

As expected, intraperitoneal injection of SCID mice with 5x106 pfu of wild type HSV-17+ led to rapid spread of the virus, neurological dysfunction, and death of all animals by 7 days. To determine the extent of HSV infection, organs from animals sacrificed 72 hours after wild type injection were analysed immunohistochemically with a polyclonal ... antibody recognising HSV-antigens. Positive cells were clearly seen in the myenteric ganglia of the small intestine, adrenal glands, and brain. contrast, non-tumor bearing SCID mice injected with the same dose of HSV-1716 remained healthy. Immunohistochemistry for HSV antigens was negative 72 hours after infection.

To test the ability of HSV-1716 to infect and replicate within human tumors in vivo, SCID mice were injected intraperitoneally with 30 million human REN cells. After 14 days, diffuse macroscopic 5-8 mm tumor nodules were present. At this time, 5x106 pfu of

HSV-1716 were instilled into the peritoneal cavity; 72 hours later, the animals were sacrificed and the abdominal organs processed for immunohistochemistry to detect HSV-proteins. Microscopic examination revealed that virtually all tumor nodules showed necrosis, infiltration with mononuclear inflammatory cells, multinucleated cells and nuclear inclusions consistent with active herpetic infection. In contrast, no viral cytopathic changes were seen in any normal tissues. To directly detect HSV infection, tumors and organs A large were stained with an anti-HSV antibody. percentage of the tumor cells stained positively for HSV antigens while surrounding normal tissues, as well as other normal tissues examined, showed no positive staining. Specifically liver, kidney, spleen, small bowel, myenteric plexuses, adrenal glands, spinal cord Similar results were and brain were negative. obtained at days 5, 7, 9 and 11 after infection, however, the number of positive cells appeared to decrease at the later time points, possibly due to a decrease in available tumor substrate.

#### EXAMPLE 3

HSV-1716 Does Not Persist Following Intraperitoneal.

Injection in Tumor-Bearing Mice.

To more sensitively detect the persistence and dissemination of HSV-1716 after intraperitoneal

MGH/MG 8th January, 1996.

injection, we used the polymerase chain reaction (PCR) to detect the presence of Herpes Simplex Thymidine Kinase (HSVtk) DNA. The results from two tumorbearing animals demonstrated no HSVtk dissemination and **HSVtk** persistence two weeks after no intraperitoneal injection. In contrast, one animal given HSV-17+ as a positive control, demonstrated HSVtk dissemination to the brain within 72 hours after intraperitoneal injection.

#### EXAMPLE 4

HSV-1716 reduces intraperitoneal tumor burden and markedly prolongs survival in a SCID mouse model of human mesothelioma.

---

ŦŸ

3.1.1.2

To determine the ability of HSV-1716 infection to erradicate established tumor, 5x106 plaque forming units (pfu) of HSV-1716 were given by intraperitoneal injection to animals that had been injected intraperitoneally 14 days previously with 30 million REN tumor cells. Animals at this time had established intraperitoneal tumors that consisted of multiple 5 to 8 mm nodules with portal invasion and gallbladder distension. Two weeks later, animals were sacrificed and the tumor burden was assessed using a previously developed semi-quantitative scale which accounts for both gross and microscopic tumor (reference 23). tumor score ranges from 0 (no gross or microscopic

tumor) to a maximum score of 4.0. Figure 3 shows a significant reduction in the mean tumor score at day 28 in tumor-bearing animals (n=12) treated with HSV-1716 as compared to the mean tumor score in control animals (n=8). The mean tumor score in the treatment group was 1.4 ± 0.2 compared with a mean tumor score in the control group of 3.9 ± 0.1 (p,0.001). All animals in the control group survived the study period. There was one death in the treatment group that occurred 5 days after viral administration. Gross examination failed to reveal the cause of death; microscopic examination was not possible due to autolysis.

To determine if this decrease in tumor mass conferred a survival advantage to SCID mice bearing established intraperitoneal REN tumors, a second set of tumor-bearing animals were injected with 5x106 pfu of HSV-1716 two weeks after intraperitoneal injection of tumor cells and followed for survival. The median survival was increased from 47 days in the control group (n=9) to 95 days in the treatment group (n=10). After 102 days, the remaining 3 surviving animals were sacrificed and necropsied. All deaths in the control group were a result of bulky intraperitoneal disease; no external tumor nodules were visible at the initial tumor injection site. Interestingly, deaths in the treatment group occurred at two distince time points.

animals died shortly after HSV-1716 Three administration. There was no evidence of bulky disease at this time. The majority of the other animals died around day 100 due to bulky malignant disease that extended from large subcutaneous nodules arising on the anterior abdominal wall. These nodules corresponded to the site of the initial tumor injection and the tumor appeared to be growing inward from the anterior abdominal with invasion into the peritoneal cavity. There were no deaths in a cohort of non-tumor bearing animals (n=5) who received the same dose of HSV-1716 by intraperitoneal injection.

A second survival study was performed determine the viral dose response. Tumor bearing animals were randomised to control (n=11)treatment groups (low dose - 4x104 pfu HSV-1716, n=10; middle dose - 4x105 pfu HSV-1716, n=10; and high dose - $4\times10^6$  pfu HSV-1716, n=10). As shown in Figure 4, treatment with high dose HSV-1716 significantly improved survival when compared to control animals (p=0.0011 by Mantel-Cox logrank test). Seventy percent of the high dose animals were alive at day 90; however, 5 out of the 7 developed subcutaneous tumor nodules on the anterior abdominal wall corresponding The low and to the initial tumor injection site. middle dose treatment animals also demonstrated a significant improvement in survival when compared to

the control animals (p=0.0003 for control vs. middle dose and p=0.0019 for control vs. low dose by Mantel-Cox logrank test). There was no difference in survival between the low and middle dose animals (p=0.65).

#### Discussions of Results

These results demonstrate that the mutant "replication-restricted" Herpes Simplex Virus-1716 can reduce tumor burden and significantly prolong survival in an animal model of non-CNS localised human malignancy. Furthermore, we have shown that the HSV-1716 mutant is "replication-restricted" to malignant cells, in that it does not disseminate or persist after intraperitoneal injection into SCID mice bearing human tumors. These findings suggest that this virus is efficacious and safe for use in localised human malignancies of non-neuronal origin such as malignant mesothelioma, ovarian carcinoma, or bladder cancer.

The "replication-restriction" of HSV-1716 is provided by deletion of the  $\gamma 34.5$  gene. The function of this gene is still unclear, however, the loss of the  $\gamma 34.5$  gene has been shown correlate with a loss of neurovirulence. There are also likely additional functions of this gene that allow for the restricted replication seen in our malignant mesothelioma cell lines.

#### REFERENCES

- 1. Vile R, Russell SJ. Gene transfer technologies for the gene therapy of cancer. Gene Therapy 1,88-98 (1994).
- 2. Smythe WR, et al. Use of recombinant adenovirus to transfer the herpes simplex thymidine kinase (HSVtk) gene to thoracic neoplasms: an effective in vitro drug sensitization system. Cancer Res. 54, 2055-2059 (1994).
- 3. Smythe WR, et al. Treatment of experimental human mesothelioma using adenovirus transfer of the Herpes Simplex-kinase gene. Ann Surg 222, 78-86 (1994).
- 4. Smythe WR, et al. Differential sensitivity of thoracic malignancies to adenoviral mediated drug sensitization gene therapy. J. of Thoracic and Cardiovascular Surg 109, 626-31 (1995).
- 5. Elshami AA, et al. Treatment of malignant pleural mesothelioma in an immunocompetent rat model utilizing adenovirus-mediated gene therapy. Human Gene Therapy 7:000-000, in press (January 1996).
- 6. Freeman, S.M. et al. The "bystander effect":
  MGH/MG 8th January, 1996.

tumor regression when a fraction of the tumor mass is genetically modified. Cancer Res. 53, 5274-5283 (1993).

- 7. Russell, SJ. Replicating vectors for gene theraply of cancer: risks, limitations, and prospects. European Journal of Cancer 30, 1165-1171 (1994).
- 8. Dock, G. Influence of complicating diseases upon Leukaemia. Am J. Med Sci 127, 563-592 (1904).
- 9. Smith, R.R. et al. Studies on the use of viruses in the treatment of carcinoma of the cervix. Cancer 9, 1211-1218 (1956).
- 10. Asada, T. Treatment of human cancer with mumps virus. Cancer 34, 1907-1928 (1974).
- 11. Martuza, R.L. et al. Experimental therapy of human glioma by means of a genetically engineered virus mutant. Science 252, 854-856 (1991).
- 12. Mineta, T. et al. Treatment of malignant gliomas using a ganciclovir hypersensitive, ribonucleotide reductase-deficient herpes simplex viral mutant. Cancer Res. 54, 3963-3966 (1994).

- 13. Martuza, R.L. et al. Experimental therapy of human glioma by means of a genetically engineered virus mutant. Science 252, 854-856 (1991).
- 14. Markert, J.M. et al. Reduction and elimination of encephalitis in an experimental glioma therapy model with attenuated herpes simplex mutants that retain susceptibility to acyclovir. Neurosurgery 32, 597-603 (1993).
- 15. Mineta, T. et al. Attenuated multi-mutated herpes simplec virus-1 for the treatment of malignant gliomas. Nature Medicine 1, 938-943 (1995).
- 16. MacLean, M.R. et al. Herpes simplex virus type deletion variant 1714 and 1716 pinpoint neurovirulence-related sequences in Glasgow strain 17= between immediate early gene 1 and the 'a' sequence.

  J Gen Vir 72, 631-639 (1991).
- 17. Robertsons, L.M. et al. Peripheral replication and latency reactivation kinetics of the non-neurovirulent herpes simplex virus type 1 variant 1716. J Gen Vir 73, 967-970 (1992).
- 18. Spivack, J.G. et al. Replication, establishment of latent infection, expression of latency-associated

MGH/MG 8th January, 1996.

transcripts and explant reativation of herpes simplex virus type 1  $\gamma 34.5$  mutants in a mouse eye model. J Gen Vir 76, 321-332 (1995).

- 19. Valyi-Naggy, T. et al. The herpes simplex virus type 1 strain 17+  $\gamma$ 34.5 deletion mutant 1716 is avirulent in SCID mice. J Gen Vir 75, 2059-2063 (1994).
- 20. Randazzo, B. et al. Treatment of experimental intracranial murine melanoma with a neuroattenuated herpes simplex virus 1 mutant. Virology 211, 94-101 (1995).
- 21. Kesari, S.B. et al. A mutant herpes simplex virus preferentially replicates in brain tumors but not in neurons derived from a human teratocarcinoma cell line. Lab Invest. (in press).
  - 22. Rusch, VW. Pleurectomy/decortication and adjuvant therapy for malignant mesothelioma. Chest 103, 3825-3845 (1993).
  - 23. Hwang, H.C. et al. Gene therapy using adenovirus carrying the Herpes Simplex-Thymidine Kinase Gene to treat in vivo models of human malignant mesothelioma.

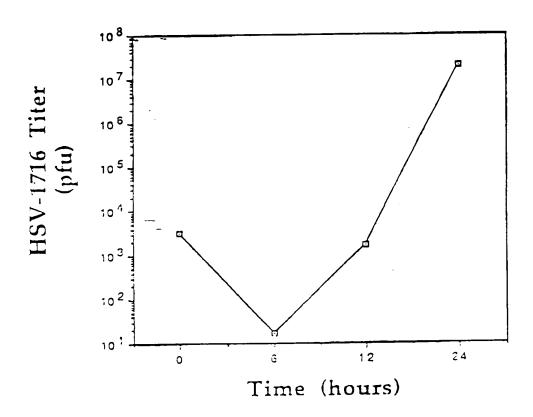
    Am. J. Respir. Cell Mol. Biol. 13, 7-16 (1995).

MGH/MG 8th January, 1996.

- 24. Chou, J. et al. Mapping of the herpes simplex virus-1 neurovirulence to  $\gamma_1$ 34.5, a gene nonessential for growth in culture. Science 250, 1262-1265 (1990).
- 25. Thompson, C.B. Apoptosis in the pathogenesis and treatment of disease. Science 267, 1456-1461.
- 26. Chou, J. and Roizman, B. The  $\gamma_1$ 34.5 gene of herpes simplex virus 1 precludes neuroblastoma cells from triggering total shutoff of protein synthesis characteristic of programmed cell death in neuronal cells. Proc. Natl. Acad. Sci. USA 89:3266-32670 (1992).

### CLAIMS

1. Use as an anticancer agent against non-neuronal cancer of a mutant herpes simplex virus which has been modified in the  $\gamma 34.5$  gene such that the gene is non-functional.



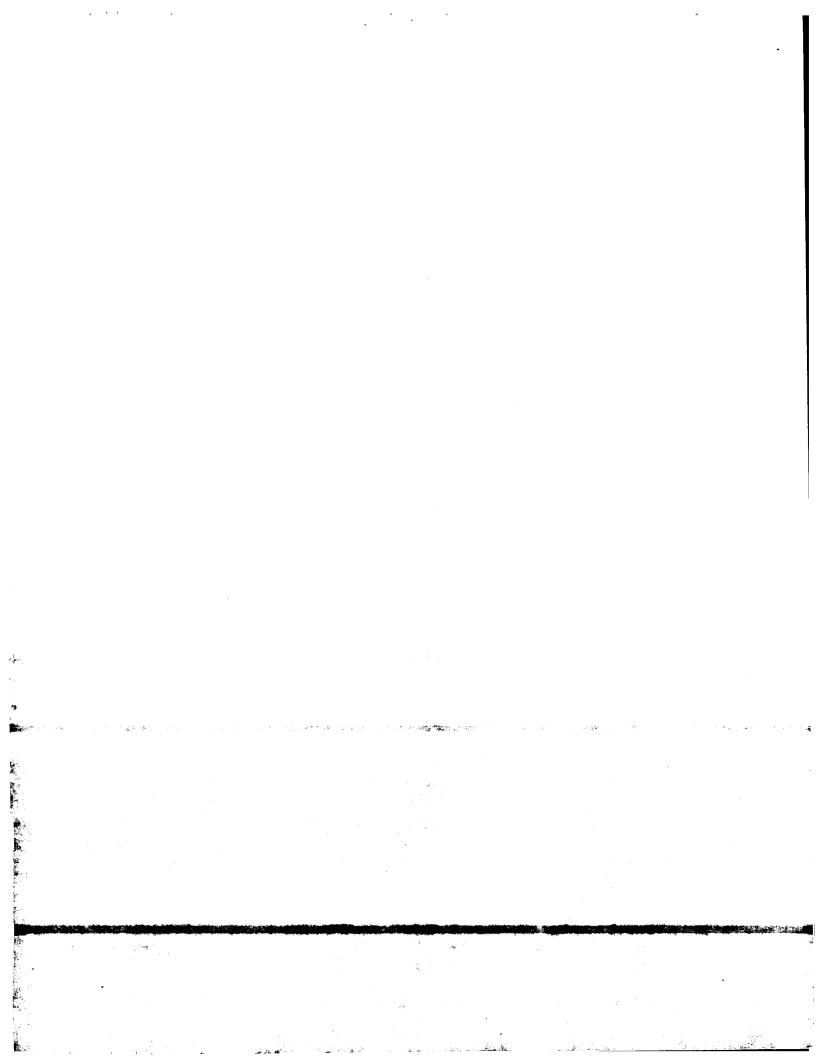
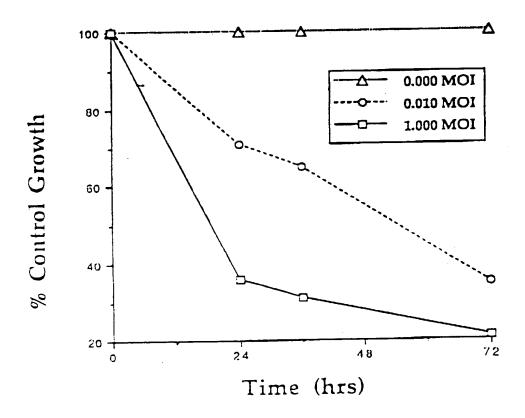
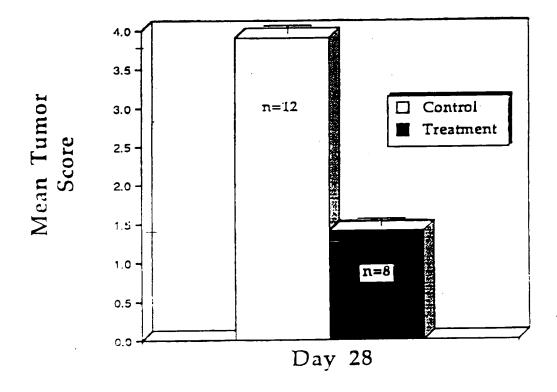


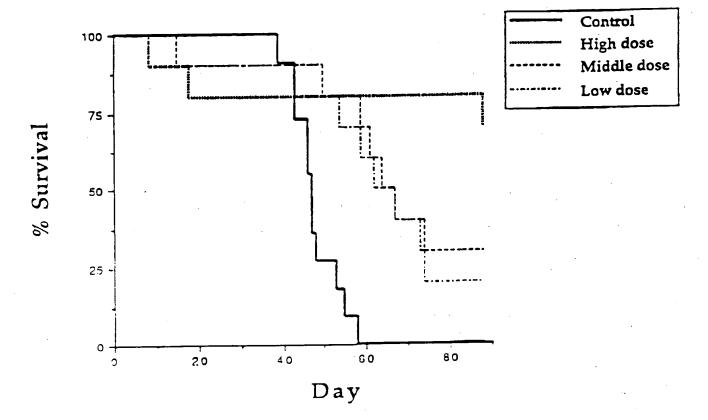
Fig 2



Sec. . 1



		·					
				,	•	 	70
			·				
	•						
	ů.						
14							
la l							
							•
					•		
	•						
							•



PC/6B 97/00232 JA Kemp & Co